STRATEGIC
WEALTH CAPITAL

Business Continuity Plan

Strategic Wealth Capital is committed to providing outstanding, reliable, and trustworthy service that represents our client's best interest. Our firm has developed a Business Continuity Plan (BCP) to protect clients and employees in the event of an emergency or significant business disruption. Since the timing of and impact of natural disasters, or other disasters and disruptions are unpredictable, we have developed a backup plan to respond to actual events as they may occur.

Our BCP is designed to protect client assets, books and records, employees' safety, firm property, making financial and operational assessments, promptly recovering, and resuming operations, and allowing our clients to transact business and to access their funds and securities. Our BCP is designed to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our BCP is developed with varying emergencies and ranges of severity. For example, if our office building or local area becomes inaccessible, we will still have access through our vendors to resume business on the same day, depending on the severity of the event. Our goal is to return to normal business conditions as soon as possible, with minimal impact on our clients and employees.

In an extreme situation where, continuing business may not be possible or practical, Strategic Wealth Capital ensures clients the ability to transact business and to access their funds and securities through our custodial arrangement(s). Charles Schwab & Co. is the custodian of your assets and funds. Clients can contact Charles Schwab & Co. via phone or by logging into your account.

Strategic Wealth Capital, LLC.
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Client Information

Strategic Wealth Capital client information is maintained electronically in accordance with SEC 17a-4 format, which means the data is written once read many and easily accessible.

Custody of Assets

As noted above, Charles Schwab & Co. INC. is the custodian of Strategic Wealth Capital's client assets. Charles Schwab has a comprehensive business continuity program in place, which is reviewed, updated, and tested on a regular basis. In most cases, client service continuity will be available in minutes.

Please note, Strategic Wealth Capital, LLC is unable to provide guarantees such plans will be successfully implemented or that such plans will be sufficient and appropriate to avoid, deter or mitigate the disaster or business disruption. We will make every effort to implement our designed plan to restore operations as soon as physically possible.

If you have any questions regarding Strategic Wealth Capital's BCP, please contact (415)508-4222.